

Terms and Conditions governing Lion-OCBC Securities Singapore Low Carbon ETF Promotion 2025

1. The Lion-OCBC Securities Singapore Low Carbon ETF ("SLC ETF") Promotion 2025 (the "Promotion") shall run from 1 April 2025 to 31 December 2025 (both dates inclusive) or such other period as may be determined by OCBC Securities Private Limited ("OSPL") at its absolute discretion (the "Promotion Period").
2. You are eligible to participate in the Promotion ("Eligible Customer") if you fulfil the following conditions:
 - (a) you maintain any account with OSPL ("Account"). For the avoidance of doubt, an Account includes an individual or joint account for retail customers, and a corporate account. The Account is also subject to OSPL's standard terms and conditions; and
 - (b) you have successfully placed a buy order of any amount of the SLC ETF through your Account during the Promotion Period (excluding sell trades, buy-ins, BCIP, CPF and SRS trades) ("Eligible Trade").
3. Employees and Trading Representatives (including Remisiers) of OSPL and their immediate family members who fulfil the conditions in paragraph 2 are also eligible to participate in this Promotion.
4. Subject to these terms and conditions, Eligible Customers will not be charged commission rates and charges on Eligible Trades (the "Benefit"). For the avoidance of doubt, Eligible Customers are still required to pay other applicable fees and levies including Clearing Fees, SGX Trading Access Fees and SGX Settlement Instruction Fees. For such fees, please refer to <https://iocbc.com/iwov-resources/sg/ocbc/ospl/PDF/ConsolidatedCommissionCharges.pdf>.
5. Eligible Customers will not be charged commission rates and charges on all Eligible Trades, regardless of whether they are placed through a single Account or multiple Accounts.
6. For Online Equities Accounts, Eligible Customers who have selected upfront payment mode on the OCBC App are still required to have sufficient funds in their Account to cover commission rates and charges at the time of placing the Eligible Trade, as the amount will be earmarked until trade settlement date. Eligible Customers will not be able to use this amount during the earmarked period, but the commission rates and charges will still be waived at the point of placing the Eligible Trade. Amalgamation does not apply to trade orders placed with cash upfront payment mode. For more information, please refer to <https://www.ocbc.com/iwov-resources/sg/ocbc/personal/pdf/investments/online-equities-account-faqs.pdf>.
7. Eligible Trades include amalgamated trades. Trades will be amalgamated if you indicated "YES" for amalgamation on ALL trades, and the amalgamated trades:
 - (a) are made on the same trading day;
 - (b) are of the same stock;
 - (c) have the same settlement currency;
 - (d) are for buy orders;
 - (e) have the same payment mode; andare performed under the same trading account.
8. The Promotion shall not apply in conjunction with any other privileges or promotions.
9. The eligibility of any person to participate in this Promotion and/or receive the Benefit shall be determined at the absolute discretion of OSPL. The decision of OSPL on all matters relating to this Promotion shall be final and conclusive, and no correspondence or queries in relation thereto will be entertained.
10. OSPL shall have the right to disqualify a customer from this Promotion if:
 - (a) the customer's account(s) with OSPL through which Eligible Trades are placed is/are closed during the Promotion Period;
 - (b) any of the customer's accounts with OSPL is reported for delinquency; or
 - (c) the customer has outstanding contra losses with OSPL which are overdue for more than 21 calendar days.

11. If any customer is subsequently disqualified or found to be ineligible to participate in this Promotion, OSPL reserves the right and at any time at its absolute discretion (i) to forfeit, withdraw or withhold the Benefit in relation to such customer, with or without notice or reason to such customer, and/or (ii) where the Benefit has been awarded to, credited to and/or utilised by such customer, to reclaim and demand for immediate repayment of the Benefit.
12. OSPL may, at any time at its absolute discretion, without notice or assigning any reason, (a) terminate the Promotion or amend the terms of the Promotion including the eligibility of any customer and Promotion Period, or (b) replace or substitute the Benefit with any other benefit.
13. OSPL shall not be responsible for the quality, merchantability or fitness for any purpose or any other aspect of the Promotion, or any product and/or service relating to the Promotion. Notwithstanding anything herein, OSPL shall not at any time be responsible or held liable for any defect or malfunction in any product or the deficiency in any service provided, and/or any loss, injury, damage or harm suffered or incurred by or in connection with the Promotion, and/or the use of any product and/or service relating to the Promotion, by any person.
14. The Benefit is strictly not transferable to any party whatsoever. Further, the Benefit is also not exchangeable for cash, other forms of credit, or otherwise unless otherwise permitted by OSPL in its sole and absolute discretion.
15. OSPL shall not be responsible for any loss or damage to any person in connection with the Promotion howsoever arising, including any error in computing trades and commissions, any breakdown or malfunction in any computer system or equipment, or any notice which is misdirected or lost in the post.
16. In the event of any inconsistency between these terms and conditions and any brochure, marketing or promotional material in relation to this Promotion, these terms and conditions shall prevail.
17. These terms and conditions shall be governed by the laws of Singapore and the customer and/or any participants in this Promotion irrevocably submit to the non-exclusive jurisdiction of the courts of Singapore.
18. A person who is not a party to any agreement governed by these terms and conditions shall have no right under the Contracts (Rights of Third Parties) Act 2001 to enforce any of these terms and conditions. Without prejudice to the generality of the above, the consent of any third party is not required for any variation or amendments (including any release or compromise of any liability) or termination of these terms and conditions, notwithstanding any term herein to the contrary.
19. Without prejudice to these terms and conditions, each Eligible Customer consents to the collection, use and disclosure of their personal data by OSPL and its related corporations (collectively, "OCBC Group"), their agents, their business partners, and their authorised service providers for the purposes of managing, marketing, and administering this Promotion, and any other applicable purposes as set out in OCBC Securities' Data Protection Policy available at www.iocbc.com/security-privacy#data-protection-policy.

Important Notes

Trading in capital markets products and borrowing to finance the trading transactions (including, but not limited to leveraged trading or gearing) can be very risky, and you may lose all or more than the amount invested or deposited. Where necessary, please seek advice from an independent financial adviser regarding the suitability of any trade or capital markets product taking into account your investment objectives, financial situation or particular needs before making a commitment to trade or purchase the capital markets product. In the event that you choose not to seek advice from a financial adviser, you should consider whether the capital markets product is suitable for you. You should consider carefully and exercise caution in making any trading decision whether or not you have received advice from any financial adviser.